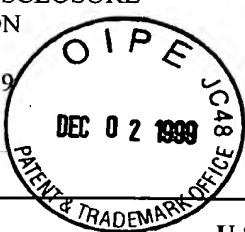


INFORMATION DISCLOSURE CITATION  PTO-1449		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002		
		APPLICANT McCue et al.		FILING DATE November 1, 1999		GROUP Unknown
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	
ELU	5,208,745	5-4-93	Quentin et al.	364	188	
	5,283,888	2-1-94	Dao et al.	395	500	
	5,463,696	10-31-95	Beernink et al.	382	186	
	5,465,378	11-7-95	Duensing et al.	395	800	
	5,493,606	2-20-96	Osder et al.	379	67	
	5,497,373	3-5-96	Hulen et al. <i>Duplicate</i>	370	79	
	5,600,765	2-4-97	Ando et al.	395	133	
	5,632,002	5-20-97	Hashimoto et al.	395	2.4	
	5,749,072	5-5-98	Mazurkiewicz et al.	704	275	
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	WO 98/56154	10/12/98	PCT	H04M	1/64	YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
Duplicate	Hemphill, Charles T., et al., "Surfing the Web by Voice", <i>Proceedings - ACM Multimedia '95</i> , San Francisco, CA, ACM 0-89791 (Nov. 1995), pp. 215-222.					
Duplicate	Hemphill, Charles T., et al., "Speech-Aware Multimedia", <i>IEEE Multimedia</i> , IEEE (1996), pp. 74-78.					
Duplicate	Lau, Raymond, et al., "WebGALAXY: beyond point and click - a conversational interface to a browser", <i>Computer Networks and ISDN Systems</i> , Vol. 29 (1997), pp. 1385-1393.					
E.H.	Zue, Victor W., "Navigating the Information Superhighway Using Spoken Language Interfaces", <i>IEEE Expert</i> , IEEE, October 1995, pp. 39-43.					
	Seneff, Stephanie, et al., "GALAXY-II: A Reference Architecture For Conversational System Development", technical paper, Massachusetts Institute of Technology (1998), 4 pages.					
	Meng, Helen, et al., "WHEELS: A Conversational System in the Automobile Classifieds Domain", <i>Proceedings - Fourth Intl. Conf. on Spoken Language Processing (ICSLP '96)</i> , October 1996, pp. 542-545.					
	Goddeau, David, et al., "A Form-Based Dialogue Manager For Spoken Language Applications", <i>Proceedings - Fourth Intl. Conf. on Spoken Language Processing (ICSLP '96)</i> , October 1996, pp. 701-704.					
EXAMINER	DATE CONSIDERED					5/29/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449 		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002			
		APPLICANT McCue et al.					
		FILING DATE November 1, 1999		GROUP Unknown			
		U.S. PATENT DOCUMENTS					
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS		
E. H.	5,758,322	5-26-98	Rongley	704	275		
	5,761,641	6-2-98	Rozak et al.	704	275		
	5,809,269	9-15-98	Favot et al.	395	376		
	5,819,220	10-6-98	Sarukkai et al.	704	243		
	5,873,064	2-16-99	De Armas et al.	704	275		
	5,875,429	2-23-99	Douglas	704	275		
	5,884,262	3-16-99	Wise et al.	704	270		
	5,884,265	3-16-99	Squitteri et al.	704	275		
	5,884,266	3-16-99	Dvorak	704	275		
	5,893,063	4-6-99	Loats et al.	704	270		
	5,897,618	4-27-99	Loats et al.	704	270		
	5,918,213	6-29-99	Bernard et al.	705	26		
	5,920,841	7-6-99	Schottmuller et al.	704	275		
	5,926,789	7-20-99	Barbara et al.	704	275		
	5,945,989	8-31-99	Freishtat et al.	345	329		
	5,953,392	9-14-99	Rhie et al.	379	88.13		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
E. H.	Lieberman, Henry, "Attaching Interface Agent Software to Applications", unpublished draft, Media Laboratory, Massachusetts Institute of Technology, circa 1993, 8 pages.						
	Schmandt, Chris, "PhoneShell: the telephone as computer terminal", as printed in <i>Proceedings of the conference on Multimedia '93</i> , pp. 373-382 (1993).						
E. H.	"VoxML 1.0 Language Reference", Revision 1.0a, Motorola, Inc., October 1998, pp. 1-51.						
EXAMINER	DATE CONSIDERED 5/29/02						

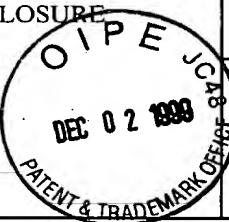
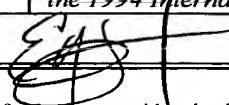
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION  PTO-1449		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002			
		APPLICANT McCue et al.		FILING DATE November 1, 1999		GROUP Unknown	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
E. H.	"VoxML 1.0 Application Development Guide", Revision 1.0, Motorola, Inc., September 1998, pp. 1-31.						
	Motorola VoxML Team, "VoxML SDK 1.0a Interim Release Notes", Motorola, Inc., Dec. 1998, 5 pages.						
	VoiceXML Forum, "Voice Extensible Markup Language - VoiceXML", Version 0.9, Aug. 1999, 63 pages.						
	James, Frankie, "AHA: audio HTML access", <i>Computer Networks and ISDN Systems</i> , Vol. 29 (1997), pp. 1395-1404.						
	James, Frankie, "Lessons from Developing Audio HTML Interfaces", <i>Proceedings of The Third Intl. ACM Conf. on Assistive Technologies (ASSETS '98)</i> ", ACM Press, April 1998, pp. 27-34.						
	Raman, T.V., "Cascaded speech style sheets", <i>Computer Networks and ISDN Systems</i> , Vol. 29 (1997), pp. 1377-1383.						
	Abrams, Marc, et al., "UIML: an appliance-independent XML user interface language", <i>Computer Networks</i> , Vol. 31 (1999), pp. 1695-1708.						
	VoiceXML Forum, "Voice eXtensible Markup Language (VoiceXML) - Technical Background", copyright AT&T (1999), printed from website "www.vxmlforum.org/tech_bkgrnd.html" August 17, 1999, 3 pages.						
	Cover, Robin, "The SGML/XML Web Page - Speech ML", Feb. 22, 1999, printed from website "www.oasis-open.org/cover/speechML.html" August 17, 1999, 2 pages.						
	Cover, Robin, "The SGML/XML Web Page - VoxML Markup Language", Mar. 2, 1999, printed from website "www.oasis-open.org/cover/speechML.html" August 17, 1999, 3 pages.						
	"Call Center Products", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/basic.asp?nodeid=665" August 24, 1999, 1 page.						
	"Financial Services Products", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/basic.asp?nodeid=105" August 24, 1999, 1 page.						
	"Technology Overview", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/basic.asp?nodeid=125" August 24, 1999, 2 pages.						
	EXAMINER		DATE CONSIDERED				

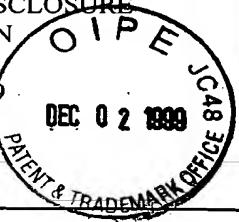
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449 		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002			
		APPLICANT McCue et al.					
		FILING DATE November 1, 1999		GROUP Unknown			
		<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
E.H.	"Customer List", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/cust_list.asp?nodeid=145" August 24, 1999, 2 pages.						
	"Customer Case Studies", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/cust_case_list.asp?nodeid=146" August 24, 1999, 5 pages.						
	"Electronic Workforce Overview", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/demos/elec_work_tour/*.html" August 24, 1999, 13 pages.						
	"pwWebSpeak: General Information" and "pwWebSpeak Overview", The Productivity Works, copyright 1996-1999, last updated May 20, 1998, printed from website "www.prodworks.com/*.htm" August 19, 1999, 5 pages.						
	Raman, T.V., "Emacspeak - Direct Speech Access", <i>Proceedings of The Second Annual ACM Conference on Assistive Technologies (ASSETS '96)</i> , ACM, April 1996, pp. 32-36.						
	Krell, Mitchell, et al., "V-Lynx: Bringing the World Wide Web to Sight Impaired Users", <i>Proceedings of The Second Annual ACM Conference on Assistive Technologies (ASSETS '96)</i> , ACM, April 1996, pp. 23-26.						
	"The AirFlash Search Engine", AirFlash, Inc., printed from website "www.airflash.com/afad_main.html" August 17, 1999, 1 page.						
	Zajicek, Mary, et al., "A Web Navigation Tool for the Blind", <i>Proceedings of The Third Annual ACM Conference on Assistive Technologies (ASSETS '98)</i> , ACM, April 1998, pp. 204-206.						
	Natural Language Speech Recognition systems from Nuance Communications, printed from website "www.nuance.com/*" August 17, 1999, 9 pages.						
	"Nuance 6", product description, Nuance Communications, Menlo Park, CA, (1999), 2 pages.						
	"Nuance Developer's Toolkit", Nuance Communications, Menlo Park, CA, (1998), 2 pages.						
	V-Commerce™ product overview, introduction and demos, V-Commerce™, printed from website "www.V-Commerce.com/* August 17, 1999, 11 pages.						
EXAMINER	DATE CONSIDERED					5/29/02	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002		
		APPLICANT McCue et al.				
		FILING DATE November 1, 1999		GROUP Unknown		
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
E.H.	V-Commerce™ White Paper, Paper No: WP006-0998, V-Commerce™, Menlo Park, CA, Oct. 6, 1998, 8 pgs.					
	"Nuance SpeechObjects and V-Commerce Applications", a Nuance white paper, Nuance Communications, Menlo Park, CA, (1999), 13 pages.					
	Asakawa, Chieko, et al., "User Interface of a Home Page Reader", <i>Proceedings of The Third Annual ACM Conference on Assistive Technologies (ASSETS '98)</i> , ACM, April 1998, pp. 149-156.					
	Bayer, Samuel, "Embedding Speech in Web Interfaces", <i>Proceedings of The Fourth Annual Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 1684-1687.					
	Kondo, Kazuhiro, et al., "Surfin' The World Wide Web With Japanese", <i>1997 IEEE International Conference on Acoustics, Speech, and Signal Processing</i> , Vol. II of V, April 1997, pp. 1151-1154.					
	Corrick, David, et al., "'ELTON' Takes Orders for New Zealand Wines & Spirits", <i>Speech Technology</i> , Sept./Oct. 1988, pp 87-89.					
	Mynatt, Elizabeth D., et al., "Mapping GUIs to Auditory Interfaces", <i>proceedings of the Fifth Annual Symposium on User Interface Software and Technology (UIST)</i> , ACM, November 1992, pp. 61-70.					
	Gamm, Stephan, et al., "Findings with the Design of a Command-Based Speech Interface for a Voice Mail System", <i>Proceedings of the Third IEEE Workshop on Interactive Voice Technology for Telecommunications Applications (IVTTA-96)</i> , IEEE Communications Society, September 1996, pp. 93-96.					
	Markowitz, Judith A., "Using Speech Recognition", Prentice Hall PTR, (1996), 293 pages.					
	Life, A., et al., "Data Collection for the MASK Kiosk: WOz vs Prototype System", <i>Proceedings of the Fourth International Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 1672-1675.					
	Gauvain, J.L., et al., "Speech Recognition for an Information Kiosk", <i>Proceedings of the Fourth International Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 849-852..					
	Mazor, Baruch, et al., "OASIS - A Speech Recognition System for Telephone Service Orders", <i>Proceedings of the 1994 International Conference on Spoken Language Processing (ICSLP 94)</i> , Sep. 1994, pp. 679-682.					
EXAMINER 	DATE CONSIDERED 5/29/02					

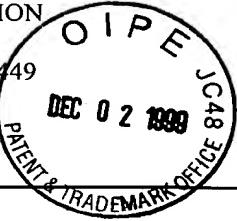
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449  DEC 02 1999		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002			
		APPLICANT McCue et al.					
		FILING DATE November 1, 1999		GROUP Unknown			
		<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
E. H.	Oerder, Martin, et al., "A Realtime Prototype of an Automatic Inquiry Systsm", <i>Proceedings of the 1994 International Conference on Spoken Language Processing (ICSLP 94)</i> , Sep. 1994, pp. 703-706.						
	Jurafsky, Daniel, et al., "The Berkeley Restaurant Project", <i>Proceedings of the 1994 International Conference on Spoken Language Processing (ICSLP 94)</i> , Sep. 1994, pp. 2139-2142.						
	Fugitt, Mitchell L., "A Voice Actuated Data Entry System for Analytical Data Collection", <i>Speech Technology</i> Oct./Nov. 1989, pp. 26-29.						
	Chien, Lee-Feng, et al., "Internet Chinese Information Retrieval Using Unconstrained Mandarin Speech Queries Based on A Client-Server Architecture and A PAT-tree-based Language Model", <i>1997 IEEE Int'l Conference on Acoustics, Speech, and Signal Processing</i> , Vol. II of V, April 1997, pp. 1155-1158.						
	Walker, Marilyn A., et al., "What Can I Say?: Evaluating a Spoken Language Interface to Email", <i>Human Factors in Computing Systems (CHI-98)</i> , Conference Proceedings, ACM Press, April 1998, pp. 582-589.						
	Schmandt, Chris, et al., "Augmenting a Window System with Speech Input", <i>IEEE</i> , August 1990, pp. 50-55.						
	Rudnicky, Alexander I., et al., "SpeechWear: A mobile speech system", <i>Proceedings of the Fourth International Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 538-541.						
	Mellor, B.A., et al., "Evaluating Automatic Speech Recognition as a Component of a Multi-Input Device Human-Computer Interface", <i>Proceedings of the Fourth International Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 1668-1671.						
	Katsuura, Makoto, et al., "The WWW Browser System with Spoken Keyword Recognition", <i>Transactions of Information Processing Society of Japan</i> , Vol. 40, No. 2, Feb. 1999, pp. 443-452.						
	Asakawa, Chieko, et al., "User Interface of a Non-visual Web Access System", <i>Transactions of Information Processing Society of Japan</i> , Vol. 40, No. 2, Feb. 1999, pp. 453-459.						
	Nakajima, Hideharu, et al., "Multimodal Interaction with WWW Browsers - An Interface Using Mouse Movement and Voiced Command", <i>Transactions of Information Processing Society of Japan</i> , Vol. 39, No. 42, Apr. 1998, pp. 1127-1136.						
	"CNN - No clicking, no buttons: com...ers 'speech sites' - July 30, 1999", printed from website "www.cnn.com/TECH/ptech/9907/30/voicerec/" August 25, 1999, 4 pages.						
EXAMINER 	DATE CONSIDERED 5/29/02						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1440		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002		
		APPLICANT McCue et al.		FILING DATE November 1, 1999		GROUP Unknown
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
E.H.	Graziani, Paolo, et al., "Screen Reader for Windows Based on Speech Output", <i>Computers for Handicapped Persons, Proceedings of the 4th International Conference (ICCHP '94)</i> , Sept. 1994, pp. 96-100.					
	Boyd, F.W., Jr., "The Story of Tootie", <i>Proceedings - AVIOS '88, Voice I/O Systems Applications Conference</i> , October 1988, 4 pages.					
	Brooks, Rodney, et al., "Voice Controlled Telephone Banking", <i>Proceedings - AVIOS '88, Voice I/O Systems Applications Conference</i> , October 1988, 6 pages.					
	"American Express Credit Authorization Voice Response System", <i>Proceedings - AVIOS '87, Voice I/O Systems Applications Conference</i> , October 1987, pp. 171-174.					
	Hein, J. Rand, "Customer Service Applications Using Voice Response And Agent Automation in a Banking Environment", <i>Proceedings - AVIOS '89, Voice I/O Systems Applications Conference</i> , Sep. 1989, pp. 9-14.					
	Olaszy, Gabor, et al., "IVR For Banking and Residential Telephone Subscribers Using Stored Messages Combined With a New Number-To-Speech Synthesis Method", <i>Human Factors and Voice Interactive Systems</i> , Kluwer Academic Publishers (1999), pp. 237-255.					
	Minker, Wolfgang, "Stochastic versus rule-based speech understanding for information retrieval", <i>Speech Communication</i> , Vol. 25 (1998), pp. 223-247.					
	Mazor, B., et al., "The design of speech-interactive dialogs for transaction-automation systems", <i>Speech Communication</i> , Vol. 17 (1995), pp. 313-320.					
	Morley, Sarah, et al., "Auditory Navigation in Hyperspace: Design and Evaluation of a Non-Visual Hypermedia System for Blind Users", <i>Proceedings of the Third Intl. ACM Conference on Assistive Technologies (ASSETS '98)</i> , ACM Press, April 1998, pp. 100-107.					
	Quan, Margaret, "Application moves voice recognition out of expert realm", <i>Electronic Engineering Times</i> , Aug. 16, 1999, p. 60.					
	Johnson, R. Colin, "Neural speech system tackles e-commerce", <i>Electronic Eng. Times</i> , May 31, 1999, p. 44.					
	Poor, Alfred, "Talking to the Web, Part III", <i>PC Magazine</i> , September 1, 1999, p. 80.					
Lieberman, Henry, "Attaching Interface Agent Software to Applications" circa 1997, 8 pages.						
EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>5/29/02</i>					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449 		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002		
		APPLICANT McCue et al.				
		FILING DATE November 1, 1999		GROUP Unknown		
		U.S. PATENT DOCUMENTS				
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
E. H.	4,468,528	8-28-84	Reece et al.	179	18 B	3-24-82
	4,588,865	5-13-86	Hestad	179	99 H	7-12-84
	4,612,416	9-16-86	Emerson et al.	179	6.11	1-22-85
	4,800,438	1-24-89	Yuter	358	254	12-8-87
	5,020,101	5-28-91	Brotz et al.	379	389	4-10-89
	5,236,199	8-17-93	Thompson, Jr.	273	439	6-13-91
	5,661,787	8-26-97	Pocock	379	101.01	10-27-94
	5,793,980	8-11-98	Glaser et al.	395	200.61	11-30-94
	5,799,063	8-25-98	Krane	379	67	8-15-96
	5,852,610	12-22-98	Olaniyan	370	486	5-15-96
	5,915,238	6-22-99	Tjaden	704	260	7-16-96
	5,920,616	7-6-99	Hazenfield	379	162	7-15-97
	5,953,005	9-14-99	Liu	345	302	6-28-96
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
E. H.	"Buyer's Guide 2000", Computer Telephony, August 1999, advertisements for "envox", "VisualVoice 5.0" (Artisoft), "CallSuite Starter Bundle (Parity Software)", "PRONEXUS", "Infinity System (Amtelco)", "Lucent Speech Solutions (Lucent Technologies)", "SpeechWorks" and others, 10 pages.					
	Schmandt, Chris, "Phonehell: the Telephone as Computer Terminal", ACM Multimedia 1993, pp. 373-382.					
	Finegan, Jay, "Sing to me, baby", Inc., Vol. 15, No. 8, August 1993, pp. 90-99.					
	Alley, D.M., et al., "Audio services for an asynchronous transfer mode network", BT Technol. J., Vol. 13, No. 3, July 1995, pp. 80-90					
	Finnigan, P., et al., "Audiotex: The telephone as data-access equipment", Data Communications, Nov. 1986, pp. 141-153.					
	Langston, P., "(201) 644-2332 or Eedie & Eddie on the Wire - An Experiment in Music Generation", USENIX Association, Summer Conference Proceedings (June 1986), pp. 13-27.					
EXAMINER	DATE CONSIDERED 5/29/02					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



#7

SHEET 1 OF 2

<b>INFORMATION DISCLOSURE CITATION</b>  <b>PTO-1449</b>	ATTY. DOCKET NO.	SERIAL NO.
	22379-702	09/431,002
	APPLICANT Partovi et al.	JUL 20 2000
	FILING DATE Nov. 1, 1999	GROUP 2742 GROUP 2700

**RECEIVED****U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
E.H.	0 859 500 A2	19/08/98	Europe	H04M	3/50	<input type="checkbox"/>	<input type="checkbox"/>
E.H.	0 847 179 A2	10/06/98	Europe	H04M	7/00	<input type="checkbox"/>	<input type="checkbox"/>
E.H.	0 889 627 A2	07/01/99	Europe	H04M	3/50	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

<i>E.H.</i>	Busch, M. et al., "Optimal Telephone Line Allocation of Voice ADS System", <i>Proceedings of the 1993 Winter Simulation Conference</i> , December 12-15, 1993, pp. 1359-1360.
	Hindus, D. et al., "Capturing, Structuring, and Representing Ubiquitous Audio", <i>ACM Transactions on Information Systems</i> , Vol. 11, No. 4, Oct. 1993, pp. 376-400.
	Hindus, D. et al., "Ubiquitous Audio: Capturing Spontaneous Collaboration", <i>Proceedings of the Conference on Computer-Supported Cooperative Work</i> , November 1992, pp. 210-217.
	Resnick, P., "HyperVoice - A Phone-Based CSCW Platform", <i>Proceedings of the Conference on Computer-Supported Cooperative Work</i> , November 1992, pp. 218-225.
	Resnick, P., "Phone-Based CSCW: Tools and Trials", <i>ACM Transactions on Information Systems</i> , Vol. 11, No. 4, Oct. 1993, pp. 401-424.
	Resnick, P. et al., "Skip and Scan: Cleaning Up Telephone Interfaces", <i>CHI '92 Conference Proceedings - ACM Conference on Human Factors in Computing Systems</i> , May 1992, pp. 419-426.
	Schmandt, C., "PhoneShell: the Telephone as Computer Terminal", <i>Proceedings ACM Multimedia 93</i> , August 1993, pp. 373-382.
	Bolt, R., "Put-That-There": Voice and Gesture at the Graphics Interface", <i>SIGGRAPH '80 Conference Proceedings, Quarterly Report of SIGGRAPH-ACM</i> , July 1980, pp. 262-270.
EXAMINER	DATE CONSIDERED 8/29/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>  <b>PTO-1449</b>	ATTY. DOCKET NO.	SERIAL NO.	<b>RECEIVED</b>
	22379-702	09/431,002	
	APPLICANT Partovi et al.		JUL 20 2000
	FILING DATE Nov. 1, 1999		GROUP 2742 GROUP 2700

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

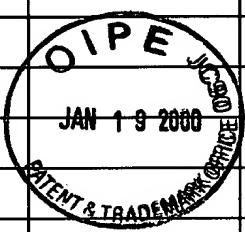
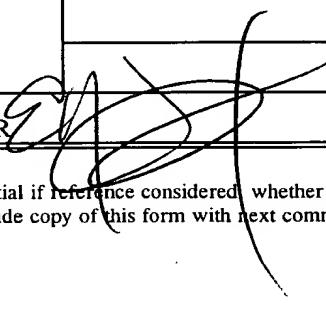
	Brown, M., "Surf and Speak Services", <i>PC Magazine</i> , March 21, 2000, p. 64.
	Hemphill, C. et al., "Surfing the Web by Voice", <i>Proceedings of ACM Multimedia '95</i> , November 1995, pp. 215-222.
	Rudnicky, A. et al., "Spoken language recognition in an office management domain", <i>ICASSP '91, International Conference on Acoustics, Speech, And Signal Processing</i> , May 1991, pp. 829-832.
	"Integrated Audio-Graphics User Interface", <i>IBM Technical Disclosure Bulletin</i> , April 1991, pp. 368-371.
	"Voice Augmented Menu Automated Telephone Response System", <i>IBM Technical Disclosure Bulletin</i> , Feb. 1995, pp. 57-62.
	"Speech Recognition - Kurzweil Brings Voice Dictation to Windows", <i>BYTE Magazine</i> , August 1994, p. 48.

EXAMINER

DATE CONSIDERED

5/29/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION  PTO-1449		ATTY. DOCKET NO. 22379-702		SERIAL NO. 09/431,002		
		APPLICANT McCue et al.				
		FILING DATE November 1, 1999		GROUP 2742		
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,799,063	8-25-98	Krane	379	67	8-15-96
			Duplicate			
 <span style="float: right;">RECEIVED JAN 21 2000 TECH CENTER 2700</span>						
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
						
EXAMINER	DATE CONSIDERED 5/29/02					

EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Attorney Docket No.: TLME-99-001-1-US

**RECEIVED**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
Patent Application

MAR 30 2001

# 11

Inventor(s): Partovi et al.

Technology Center 2600

Serial No.: 09/513,237

Group Art Unit: 2743

Filed: 2/24/00

Examiner: Not yet assigned

**RECEIVED**

Title: METHOD AND APPARATUS FOR CONTENT PERSONALIZATION OVER A TELEPHONE INTERFACE WITH LOCALE BASED PERSONALIZATION

APR 02 2001

Form 1449

Group 2100

**U.S. Patent Documents**

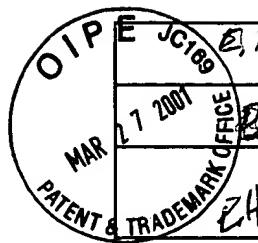
Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
E. H.	A	6,067,348	5/23/00	Hibbeler	379	88.16	8/4/98
E. H.	B	5,915,001	6/22/99	Uppaluru	379	88.22	11/14/96
E. H.	C	5,953,392	9/14/99	Rhie et al	379	88.13	3/1/96
E. H.	D	5,884,266	3/16/99	Dvorak	704	275	4/2/97
E. H.	E	5,884,262	3/16/99	Wise et al	704	270	3/28/96
E. H.	F	5,465,378	11/7/95	Duensing et al	395	800	10/12/93
E. H.	G	5,799,063	8/25/98	Krane	379	67	8/25/98
E. H.	H	5,497,373	3/5/96	Hulen et al	370	79	3/22/94
E. H.	I	5,926,789	7/20/99	Barbara et al	704	275	12/19/96
E. H.	J	5,945,989	8/31/99	Freishtat et al	345	329	3/25/97

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
E. H.	K	WO 98/56154	12/10/98	PCT	H04M	1/64	XX	
E. H.	L	EP0847179A2	6/10/98	EPO	H04M	7/00	XX	
E. H.	M	EPO859500A2	8/19/98	EPO	H04M	3/50	XX	

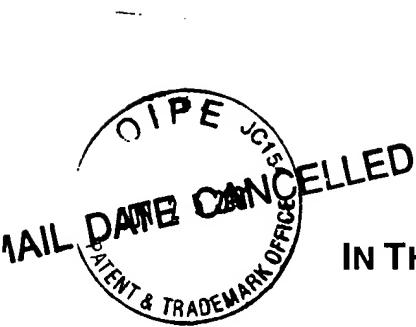
**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
E. H.	N	Mazor et al "The Design of Speech-Interactive Dialogs for Transaction-Automation Systems," GTE Laboratories, Inc., Waltham, MA, USA. Speech Communication 17 (1995) 313-320
E. H.	O	Hemphill et al, "Surfing the Web by Voice," ACM Multimedia '95, San Francisco, California USA, pages 215-222.
E. H.	P	Daryle Gardner-Bonneau, "Human Factors and Voice Interactive Systems," 1999 Kluwer Academic Publishers, Norwell, MA, USA, "IVR For Banking and Residential Telephone Subscribers Using Stored Messages Combined With a new Number-to-Speech Synthesis Method." pages 237-255.
E. H.	Q	Lau et al, "WebGALAXY: Beyond Point and Click - a Conversational Interface to a Browser," Computer Networks and ISDN Systems 29 (1997) 1385-1393.
E. H.	R	"VoxML 1.0 Application Development Guide," Revision 1.0 Motorola, Inc. Naperville, IL, USA September 1998
E. H.	S	Hemphill et al, "Speech-Aware Multimedia." IEEE Multimedia, Spring 1996, pages 74-78.



	T	Mazor et al, "Oasis - A Speech Recognition System for Telephone Service Orders," GTE Laboratories, Inc., Waltham, MA, USA.
	U	Frankie James, "AHA: audio HTML access", Computer Networks and ISDN Systems, Vol. 29 (1997), pp. 1395-1404.
	V	Samuel Bayer, "Embedding Speech in Web Interfaces", Proceedings of The Fourth Annual Conference on Spoken Language Processing (ICSLP '96), October 1996, pp. 1684-1687.
B. H.	W	J.L. Gauvain., et al., "Speech Recognition for an Information Kiosk", Proceedings of The Fourth Annual Conference on Spoken Language Processing (ICSLP '96), October 1996, pp. 849-852.
E. H.	X	Marilyn A. Walker, et al., "What Can I Say?: Evaluating a Spoken Language Interface to Email", Human Factors in Computing Systems (CHI-98), Conference Proceedings, ACM Press, April 1998, pp. 582-589.
E. H.	Y	Alexander I. Rudnicky, et al., "SpeechWear: A mobile speech system", Proceedings of The Fourth Annual Conference on Spoken Language Processing (ICSLP '96), October 1996, pp. 538-541.
E. H.	Z	Makoto Katsuura, et al., "The WWW Brower System with Spoken Keyword Recognition", Transactions of Information Processing Society of Japan, Vol. 40, No. 2, Feb. 1999, pp.443-452.
E. H.	AA	Chieko Asakawa, et al., "User Interface of a Non-visual Web Access System", Transactions of Information Processing Society of Japan, Vol. 40, No. 2, Feb. 1999, pp.453-459.
E. H.	BB	Hideharu Nakajima, et al., "Multimodal Interaction with WWW Browers - An Interface Using Mouse Movement and Voiced Command", Transactions of Information Processing Society of Japan, Vol. 39, No. 42, April 1998, pp.1127-1136
E. H.	CC	Rodney Brooks, et al., "Voice Controlled Telephone Banking", Proceedings - AVIOS '88, Voice I/O Systems Applications Conference, October 1988, 6 pages.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.



#12

Attorney Docket No.: TLME-99-001.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

Patent Application

JUL 03 2001

Inventor(s): Partovi et al.

Technology Center 2600

Serial No.: 09/431,002

Group Art Unit: 2742

Filed: 11/1/99

Examiner: Not yet assigned

Title: STREAMING CONTENT OVER A TELEPHONE INTERFACE

Form 1449

Technology Cen

JUL 05 2001

RECEIVED

## U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filed Date
	A	*5,915,001	6/22/99	Uppaluru	379	08-22	11/14/96
	B	*5,799,063	8/25/98	Krane	379	67	8/13/96
	C			A+B Duplicate			
	D						
	E						
	F						
	G						
	H						
	I						
	J						

## Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	No
	K	*EP0847179A2	6/10/98	EPO	H04M	7/00	XX	
E. H.	L	EP0841831A2	5/13/98	EPO	H04Q	11/04	XX	
E. H.	M	EP0845894A2	6/3/98	EPO	H04M	3/50	XX	

## Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	N	
	O	
	P	
	Q	

Examiner *[Signature]* Date Considered *5/29/02*

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

\* These references were submitted to the USPTO on Form 1449 and IDS Form, submitted March 23, 2001.